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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))11000 U.S. PTO
09/09/01
06/26/01**Attorney Docket No.** 42390P10211
(maximum 12 characters)**First Named Inventor** Douglas P. Bogia**Title:** METHOD FOR MANAGING AN APPLIANCE**Express Mail Label No.** EL 672 751 204 US**ADDRESS TO:** Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 14)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 2)**
5. **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449
 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME _____

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Maria McCormack Sobrino Registration No.: 31,639

Signature: Maria McCormack Sobrino Date: June 26, 2001

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$1,068.00

Complete if Known:

Application No. Not yet assignedFiling Date 6/26/01First Named Inventor Douglas B. BogiaGroup Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 42390P10211

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>710</u>
		Design application filing fee	<u></u>
		Plant filing fee	<u></u>
		Reissue filing fee	<u></u>
		Provisional application filing fee	<u></u>

SUBTOTAL (1) \$ 7102. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>31</u>	<u>- 20** = 11</u>	<u>X 18 = 198</u>
Independent Claims	<u>5</u>	<u>- 3** = 2</u>	<u>X 80 = 160</u>
Multiple Dependent			<u>= 0</u>

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code (\$)
103	18	203 9
102	80	202 40
104	270	204 135
109	80	209 40
110	18	210 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 358

FEE CALCULATION (continued)
3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Maria McCormack Sobrino

Signature: Maria McCormack Sobrino Date: June 26, 2001

Reg. Number: 31,639 Telephone Number: (408) 720 8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Serial/ Patent No.: Not yet assigned Filing Issue Date: 6/26/01

Client: Three Corporation

Title: METHOD FOR MANAGING AN APPLIANCE

BSIPZ File No.: 42390P10211

Atty/Secty Initials: MES/CKY/mcp

Date Mailed: 6/26/01

Doc Due Date: N/A

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (pg(s)) Expedited Mailbox EL672751204US Ref. No. 43776
 AppealBrief (pg(s)) Anticipate Month(s) Extension of Time Am. Fees 0.68-00
 Application - Only (112 pg(s) with cover and abstract) Transmittal Letter Specified 10/12/01 Ref. Case No. 43776
 Application - Rule 1.52(h) Continuation (pg(s)) Issue Fee Transmittal Ref. Case No. 43776
 Application - Rule 1.51(d) Divisional (pg(s)) No Notice Appeal Ref. Case No. 43776
 Application - Rule 1.51(d) CIP (pg(s)) Partition or Extension of Time Ref. Case No. 43776
 Application - Rule 1.52(d) CPA Transmittal (pg(s)) Partition or Extension of Time Ref. Case No. 43776
 Application - Design (pg(s)) Priority Ref. Case No. 43776
 Application - ReC (pg(s)) Power of Attorney Ref. Case No. 43776
 Application - International (pg(s)) Priority or Assignment (pg(s)) Small Entity Declaration Ref. Case No. 43776
 Assignment and Cover Sheet Reply by Date (pg(s)) Transmittal Letter Specified Ref. Case No. 43776
 Certificate of Mailing EL672751204US Response to Notice of Missing Parts Ref. Case No. 43776
 Declaration & Power 5 pg(s) Small Entity Declaration Ref. Case No. 43776
 Document & Opt. w/periment Specified (pg(s)) Transmittal Letter Specified Ref. Case No. 43776
 Drawings (pg(s) includes figures) Other Transmittal Specified Ref. Case No. 43776
 Others - Copy of Return Receipt Postcard with Express Mail Certificate of Mailing

"Express Mail" mailing label number: EL672751204US
Date of Deposit: June 26, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Maureen R. Petrone

(Typed or printed name of person mailing paper or fee)

Maureen R. Petrone

(Signature of person mailing paper or fee)

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